

**1992-93**

**STATEMENT OF  
ECONOMIC INTERESTS**

**FORM 721 AND INSTRUCTIONS**

IF YOU ARE AN OFFICIAL WHOSE POSITION  
IS LISTED IN GOVERNMENT CODE SECTION 87200,  
USE FORM 721 TO FILE:

**ASSUMING OFFICE STATEMENT  
ANNUAL STATEMENT  
LEAVING OFFICE STATEMENT  
CANDIDATE STATEMENT**

Prepared by the  
Fair Political Practices Commission  
P.O. Box 807  
Sacramento, CA 95812-0807  
PHONE: (916) 322-5662

# WHO MUST FILE FORM 721?

## STATE OFFICERS

Governor  
Lieutenant Governor  
Attorney General  
Controller  
Insurance Commissioner  
Secretary of State  
Treasurer  
Public Utilities Commissioners

Superintendent of Public Instruction  
State Board of Equalization Members  
State Energy Resources Conservation and Development  
Commissioners  
State Coastal Commissioners  
Fair Political Practices Commissioners  
Members of the State Legislature

## JUDICIAL OFFICERS

Judges (Supreme, Appellate, Superior, Municipal, and Justice)  
Court Commissioners  
Referees and Pro-Tem Judges  
Retired Judges who serve or expect to serve 30 days or more in a 12-month period

## COUNTY AND CITY OFFICERS

### County Officers

Members of the Board of Supervisors  
Chief Administrative Officers  
District Attorneys  
Planning Commissioners  
County Counsels  
County Treasurers  
Other County Public Officials and Employees  
Who Manage Public Investments

### City Officers

Mayors  
Members of the City Council  
City Attorneys  
City Managers  
Chief Administrative Officers  
Planning Commissioners  
City Treasurers  
Other City Public Officials and Employees Who  
Manage Public Investments

## WHERE MUST STATEMENTS BE FILED?

### STATE OFFICERS:

Candidate Statements - File with the registrar of voters/county clerk of the county in which you file your declaration of candidacy.

Assuming Office, Annual, and Leaving Office Statements - File with your agency.

### JUDICIAL OFFICERS:

Candidate Statements - File with the registrar of voters/county clerk of the county in which you file your declaration of candidacy.

Assuming Office, Annual, and Leaving Office Statements - File with the clerk of your court.

### COUNTY OFFICERS:

Candidate Statements - File with the registrar of voters/county clerk of the county in which you file your declaration of candidacy.

Assuming Office, Annual, and Leaving Office Statements - File with your county clerk.

### CITY OFFICERS:

Candidate Statements - File with the clerk of the city in which you file your declaration of candidacy.

Assuming Office, Annual, and Leaving Office Statements - File with your city clerk.

***Your filing official will retain a copy and forward the original to FPPC.***

## **GIFT LIMITATIONS AND HONORARIA PROHIBITION**

### **Gift Limitations**

Elected State Officers may not accept gifts with a value of more than \$250 in a calendar year from a single source.

Local Elected Officers may not accept gifts and honoraria with a value of more than \$1,000 in a calendar year from a single source.

State Board and Commission Members, and State Agency Officials and Designated Employees may not accept gifts with a value of more than \$250 in a calendar year from a single source, if the member or employee would be required to report the receipt of income or gifts from that source on his or her statement of economic interests.

This prohibition does not apply to a part-time member of the governing board of a public institution of higher education, unless the member is also an elected official.

### **Exceptions To Gift Limit:**

The following are not prohibited or limited by the Political Reform Act:

- The items listed in "Exceptions From Disclosure" in the instructions for "Completing Schedule F -- Gifts" of this disclosure form.
- Payments, advances, or reimbursements for travel and related lodging and subsistence as described in "Travel Payments." However, many payments for travel, lodging and subsistence must be disclosed.
- Wedding gifts. However, wedding gifts must be disclosed.

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Gifts From Lobbyists: Elected state officers and certain state board and commission members and state agency officials and employees are prohibited from accepting a gift or gifts, including wedding gifts, aggregating more than \$10 in a month from a lobbyist or lobbying firm registered with the Secretary of State.

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"Gift" means anything of value, whether tangible or intangible, for which equal or greater value is not provided. You must disclose gifts with an aggregate value of \$50 or more received during the reporting period from a single source, without regard to the location of the donor (inside or outside your jurisdiction). Any number of gifts from one person, the values of which add up to \$50 or more during the reporting period, must be disclosed.

### **Honoraria Prohibition**

Elected State Officers may not accept any honorarium. "Honorarium" means, with the exceptions noted below, any payment made in consideration for any speech given, article published, or attendance at any public or private conference, convention, meeting, social event, meal, or like gathering.

Local Elected Officers may not accept honoraria for any speech given, article published, or attendance at any public or private conference, convention, meeting, social event, meal, or like gathering, or gifts which aggregate more than \$1,000 from a single source in a calendar year.

Members of State Boards and Commissions, and Employees of State Agencies may not accept an honorarium from any source, if the member or employee would be required to report the receipt of income or gifts from that source on his or her statement of economic interests.

This prohibition does not apply to any part-time member of the governing board of any public institution of higher education unless the member is also an elected official.

#### **Exceptions To Honoraria Prohibition:**

1. "Honorarium" does not include earned income for personal services which are customarily provided in connection with the practice of a bona fide business, trade, or profession, such as teaching, practicing law, medicine, insurance, real estate, banking, or building contracting, unless the sole or predominant activity of the business, trade, or profession is making speeches.

2. This prohibition does not apply to payments, advances, and reimbursements for travel and related lodging and subsistence, as described in "Travel Payments" below.

3. This prohibition does not apply to any honorarium which is not used and, within 30 days after receipt, is either returned to the donor or delivered to the Controller for donation to the General Fund, without being claimed as a deduction from income for tax purposes.

#### **Travel Payments**

With the following exceptions, payments and reimbursements for travel are subject to the gift limitations set out above:

Payments, advances, or reimbursements for travel, including actual transportation and related lodging and subsistence which is reasonably related to a legislative or governmental purpose, or to an issue of state, national, or international public policy, are not prohibited or limited, if either of the following apply:

(1) The travel is in connection with a speech given by the elected officer or state employee, the lodging and subsistence expenses are limited to the day immediately preceding, the day of, and the day immediately following the speech, and the travel is within the United States.

(2) The travel is provided by a government, a governmental agency, a foreign government, a governmental authority, a bona fide public or private educational institution, as defined in Section 203 of the Revenue and Taxation Code, or a nonprofit charitable or religious organization which is exempt from taxation under Section 501(c) (3) of the Internal Revenue Code, or by a person domiciled outside the United States which substantially satisfies the requirements for tax exempt status under Section 501(c) (3) of the Internal Revenue Code.

(3) Travel costs paid from campaign funds as permitted by Government Code Section 89510, et seq.

(4) Travel provided by the agency of an elected officer, member of a state board or commission, or designated employee of a state agency.

(5) Travel which is reasonably necessary in connection with a bona fide business, trade, or profession and which satisfies the criteria for federal income tax deduction for business expenses in Sections 162 and 174 of the Internal Revenue Code, unless the sole or predominant activity of the business, trade, or profession is making speeches.

(6) Travel which is excluded from the definition of a gift by any other provision of the Political Reform Act.

**NOTE:** Although certain travel payments are not prohibited or limited, they may have to be disclosed. Refer to the instructions for completing Schedule D-1 for detailed information.

Date Received by FPPC

Date Received by Filing Official

FORM 721  
STATEMENT OF ECONOMIC INTERESTS  
A Public Document

1992/93

PLEASE TYPE OR PRINT IN INK

NAME			TELEPHONE NUMBER ( )
MAILING ADDRESS	STREET	CITY	ZIP CODE

OFFICE HELD OR SOUGHT (See instructions on reverse.):

STATE OF CALIFORNIA OFFICE: \_\_\_\_\_ DISTRICT: \_\_\_\_\_

JUDICIAL BRANCH COUNTY: \_\_\_\_\_ COURT: \_\_\_\_\_

CHECK ONE:  JUDGE  COMMISSIONER  REFEREE  PRO-TEM  RETIRED JUDGE

COUNTY OF: \_\_\_\_\_ OFFICE/POSITION: \_\_\_\_\_

CITY OF: \_\_\_\_\_ OFFICE/POSITION: \_\_\_\_\_

EXPANDED STATEMENT: \_\_\_\_\_

NAME OF AGENCY \_\_\_\_\_ OFFICE/POSITION \_\_\_\_\_

TYPE OF STATEMENT (Check the Appropriate Box): (See instructions on reverse for deadlines and information concerning your disclosure requirements.)

ASSUMING OFFICE STATEMENT  
(For Newly-Elected and Newly-Appointed Officials ONLY)

ELECTED OFFICIAL

APPOINTED OFFICIAL

Date Assumed Office or, if you are an Appointed Official subject to confirmation, enter the Date Appointed or Nominated: \_\_\_\_\_  
mo. day yr.

ANNUAL STATEMENT The period covered is January 1, 1992 through December 31, 1992.

LEAVING OFFICE STATEMENT Date Left Office: \_\_\_\_\_  
mo. day yr.

The period covered is January 1, 19\_\_, through the date of leaving office.

CANDIDATE STATEMENT

VERIFICATION

I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on \_\_\_\_\_, 19\_\_\_\_, at \_\_\_\_\_  
(month, day) (year) (city and state)

SIGNATURE \_\_\_\_\_

## FILING DEADLINES AND INSTRUCTIONS FOR COMPLETING THE COVER PAGE

- Enter your name, mailing address, and daytime telephone number. You may list your home or business address.
- Check the appropriate box to indicate whether you are seeking or hold a state, judicial, county, or city office, and complete the required information for that office.

**Expanded Statement:** A person holding two or more positions may complete one statement covering the disclosure requirements for both positions and file a copy with each agency. Each copy must contain an original signature. Reportable interests for both positions must be disclosed.

- Check the box(es) to indicate the type of statement being filed. If filing an assuming office statement or a leaving office statement, enter the appropriate date.

### ASSUMING OFFICE STATEMENT (For Newly-Elected and Newly-Appointed officials ONLY)\*

**Elected Official** (other than elected state officers assuming office in December or January)--File no later than **30 days** after assuming office.

**Appointed Official Not Subject to Confirmation** by the State Senate or the Commission on Judicial Appointments--File no later than **10 days** after assuming office.

**Appointed Official Subject to Confirmation** by the State Senate or the Commission on Judicial Appointments--File no later than **10 days** after appointment or nomination.

- Complete Schedules A, B, C-1 and C-2 disclosing investments and interests in real property held on the date of assuming office/appointment or nomination. In addition, complete Schedules D, D-1, E, F, G and H-1 through H-3 disclosing income received during the 12 months prior to the date of assuming office/appointment or nomination.

### ANNUAL STATEMENT

**Elected State Officers, Judicial Officers, and Members of State Boards and Commissions** Required to File Form 721--File no later than **March 1, 1993**.

**County and City Officials**--File no later than **April 1, 1993**.

- Complete all schedules disclosing investments and interests in real property held and income received at any time during the period January 1, 1992 through December 31, 1992.

### LEAVING OFFICE STATEMENT

- File no later than **30 days** after leaving office.
- Complete all schedules disclosing investments and interests in real property held and income received at any time during the period beginning from the day after the closing date of your last statement through the date of leaving office.

### CANDIDATE STATEMENT

- File no later than the final filing date for your declaration of candidacy.
- Complete Schedules A, B, C-1 and C-2 disclosing investments and interests in real property held on the date of filing. In addition, complete Schedules D, D-1, E, F, G and H-1 through H-3 disclosing income received during the 12 months prior to the date of filing.
- Complete the verification by entering the date and place signed, and signing the statement. **An unsigned statement is considered an unfiled statement and you may be subject to late filing penalties.**

\* Incumbent officeholders who are re-elected or re-appointed without a break in service are not required to file an assuming office statement.

NAME \_\_\_\_\_

**The Following Summary Must Be Completed By All Filers**

**DO NOT COMPLETE THIS SUMMARY PAGE UNTIL YOU HAVE CAREFULLY REVIEWED ALL SCHEDULES AND THE INSTRUCTIONS FOR EACH SCHEDULE.**

	SCHEDULE COMPLETED AND ATTACHED	NO REPORTABLE INTERESTS
<b>Schedule A - INVESTMENTS</b> <i>(Which Are <u>Not</u> Held Through A Business Entity Or Trust)</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule B - INTERESTS IN REAL PROPERTY</b> <i>(Which Are <u>Not</u> Held Through A Business Entity Or Trust)</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule C-1 - INTERESTS IN REAL PROPERTY HELD BY A BUSINESS ENTITY OR TRUST</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule C-2 - INVESTMENTS HELD BY A BUSINESS ENTITY OR TRUST</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule D - INCOME</b> <i>(Other Than Loans, Gifts And Honoraria)</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule D-1 - INCOME -- TRAVEL PAYMENTS, ADVANCES, REIMBURSEMENTS</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule E - INCOME -- LOANS</b> <i>(Received Or Outstanding During The Reporting Period )</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule F - INCOME -- GIFTS</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule G - INCOME -- HONORARIA</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule H-1 - COMMISSION INCOME RECEIVED BY BROKERS, AGENTS AND SALESPERSONS</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule H-2 - INCOME AND LOANS TO BUSINESS ENTITIES OR TRUSTS</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Schedule H-3 - INCOME FROM RENTAL PROPERTY</b>	<input type="checkbox"/>	<input type="checkbox"/>

**NOTE: AFTER THE SUMMARY PAGE HAS BEEN COMPLETED, PLEASE DISCARD THOSE SCHEDULES ON WHICH YOU HAVE NO REPORTABLE INTERESTS.**

You must complete the verification at the bottom of the cover page. An unsigned statement is considered an unfiled statement and you may be subject to late filing penalties.

**INFORMATION FOR COMPLETING SCHEDULE A - Investments**  
**(Which Are Not Held By A Business Entity Or Trust)**

You must report as an investment any financial interest in any business entity located in or doing business in your jurisdiction in which you or your immediate family (your spouse and your dependent children) had a direct, indirect or beneficial interest aggregating \$1,000 or more during the reporting period. In addition, if you had a 10% or greater interest in a business entity, please see Schedules C-1, C-2 and H-2.

**A business entity is located in or doing business in the jurisdiction if it, a parent or subsidiary, or a related business entity:**

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction; or
- Plans to do business in the jurisdiction; or
- Has done business in the jurisdiction within the previous two years; or
- Has an interest in real property in the jurisdiction; or
- Has an office in the jurisdiction

**The most common types of investments are:**

- Sole proprietorships
- Partnerships
- Stocks, bonds, warrants and options including those held in margin or brokerage accounts
- Individual Retirement Accounts (IRAs) which are invested in reportable business entities or real property

**You are not required to disclose the following investments:**

- Bank accounts, savings accounts and money market accounts
- Insurance policies
- Shares in a credit union
- Government bonds
- Diversified mutual funds registered with the Securities and Exchange Commission (SEC)
- Common trust funds created under California Financial Code Section 1564
- Individual Retirement Accounts (IRAs) invested in non-reportable interests such as insurance policies, diversified mutual funds or government bonds

**EXAMPLE, SCHEDULE A**

Assume that:

- You have a 50% partnership interest in ABC Land Developers, valued at \$250,000, which you did not acquire or dispose of during the reporting period.

Your partnership in ABC Land Developers must be disclosed.

Schedule A should be completed as follows:

NAME OF BUSINESS ENTITY <b>ABC Land Developers</b>		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G. COMMON STOCK, PARTNERSHIP INTEREST <b>Partnership</b>	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest <input type="checkbox"/> Less than 10% <input checked="" type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <b>Land Developer</b>		

**NOTE:** You may be required to report on Schedule D any salary, commission, distribution or other income (\$250 or more) received by you or your spouse from business entities listed on Schedule A.

\*If you have checked this box, you must report any interests in real property and investments held by the business entity or trust on Schedules C-1 and C-2. In addition, if your pro rata share of the gross income from any one source was \$10,000 or more, you may be required report the name of that source on Schedule H-2.



NAME \_\_\_\_\_

**Schedule A - Investments**  
**(Which Are Not Held By A Business Entity Or Trust)**

(SEE INSTRUCTIONS ON PRECEDING PAGE)

NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year : Date Acquired: _____ Date Disposed: _____	Ownership Interest <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

**NOTE: YOU MAY BE REQUIRED TO REPORT ON SCHEDULE D ANY SALARY, COMMISSION, DISTRIBUTION OR OTHER INCOME (\$250 OR MORE) RECEIVED BY YOU OR YOUR SPOUSE FROM ANY BUSINESS ENTITY LISTED ON SCHEDULE A.**

\*If you have checked this box, you must report on Schedules C-1 and C-2 any interests in real property and investments held by the business entity. In addition, if your pro rata share of the gross income from any one source was \$10,000 or more, you may be required to report the name of that source on Schedule H-2.

If additional space is needed, check box and attach an additional Schedule A.

## INFORMATION FOR COMPLETING SCHEDULE B - Interests In Real Property (Which Are Not Held By A Business Entity Or Trust)

You must report interests in real property located in your jurisdiction, in which you or your immediate family had a direct, indirect or beneficial interest aggregating \$1,000 or more at any time during the reporting period.

### Jurisdiction for reporting interests in real property:

Judges, court commissioners, state officeholders and state agency officials and employees have statewide jurisdiction and must report real property located anywhere within the State of California. An interest in real property is in the jurisdiction of a city or county if it is located in, or within two miles of, the city or county, or within two miles of any land owned or used by the city or county.

### Interests in real property include:

- A leasehold interest\*
- A beneficial ownership interest
- A deed of trust
- An easement
- An option to acquire any of the above
- An interest in real property held by an IRA in which you have a 10% or greater interest

### You are not required to disclose the following interests in real property:

- Any residence which you utilize exclusively as your personal residence, unless it is also a place of business, e.g., family farm, retail business, or vacation rental, in which case you are required to disclose only the value of that portion of the property which is used in connection with your business activities.
- Interests in real property acquired by a blind trust pursuant to FPPC Regulation 18235.

### Reporting Interests in Rental Property and Income from Rental Property

If you or your immediate family have an interest in a rental property directly, i.e., not through a business entity, you must report:

- The property on Schedule B;
- Your share of the total amount of rental income received from the property on Schedule D; and
- If your ownership interest is 10% or greater, the name of any tenant who paid rent of which your share was \$10,000 or more on Schedule H-3.

If you or your immediate family own a 10% or greater interest in a business entity, such as a partnership or joint venture, which owns real property, you must report:

- The business entity on Schedule A;
- The property held by the business entity on Schedule C-1;
- Your share of the total amount of income, including rents, received from the business entity on Schedule D;
- The name of any client or customer who provided income to the business entity of which your pro rata share was \$10,000 or more on Schedule H-2.

\*An interest in a lease on real property is reportable if the value of the leasehold interest is \$1,000 or more. The value of the leasehold interest is the total amount of rent owed by you during the reporting period, or on an assuming office statement, during the prior 12 months. No leasehold interest with a value of less than \$1,000 need be reported. When reporting a leasehold interest, you must include the address or other precise location of the leased property and the date upon which the lease became effective, if this occurred during the reporting period.

### EXAMPLE, SCHEDULE B

Assume that:

- You have a 25% interest in a commercial rental building at 14738 Spruce Street, Little Town. The fair market value of your interest in the building is \$85,000 and you did not acquire or dispose of the property during the reporting period.

Schedule B should be completed as follows:

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY <b>14738 Spruce Street</b>		CITY <b>Little Town</b>	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input checked="" type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST <b>Ownership</b>			
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	<input type="checkbox"/> Less than 10% <input checked="" type="checkbox"/> 10% or greater*

**NOTE: You may be required to report on Schedule D any income (\$250 or more) received by you or your spouse from rental property listed on Schedule B.**

\*If you have a 10% or greater interest in a rental property, you may have additional reporting requirements on Schedule H-3.

NAME \_\_\_\_\_

**Schedule B - Interests In Real Property  
(Which Are Not Held By A Business Entity Or Trust)**

(SEE INSTRUCTIONS ON PRECEDING PAGE)

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY		FAIR <input type="checkbox"/> \$1,000-\$10,000 MARKET <input type="checkbox"/> \$10,001-\$100,000 VALUE <input type="checkbox"/> Over \$100,000	
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST					
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:		Date Acquired: _____ Date Disposed: _____		If Rental Property, Ownership Interest Is <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY		FAIR <input type="checkbox"/> \$1,000-\$10,000 MARKET <input type="checkbox"/> \$10,001-\$100,000 VALUE <input type="checkbox"/> Over \$100,000	
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST					
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:		Date Acquired: _____ Date Disposed: _____		If Rental Property, Ownership Interest Is <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY		FAIR <input type="checkbox"/> \$1,000-\$10,000 MARKET <input type="checkbox"/> \$10,001-\$100,000 VALUE <input type="checkbox"/> Over \$100,000	
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST					
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:		Date Acquired: _____ Date Disposed: _____		If Rental Property, Ownership Interest Is <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY		FAIR <input type="checkbox"/> \$1,000-\$10,000 MARKET <input type="checkbox"/> \$10,001-\$100,000 VALUE <input type="checkbox"/> Over \$100,000	
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST					
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:		Date Acquired: _____ Date Disposed: _____		If Rental Property, Ownership Interest Is <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*	

**NOTE:** You may be required to report on Schedule D any income (\$250 or more) received by you or your spouse from rental property listed on Schedule B.

\*If you have a 10% or greater interest in a rental property, you may have additional reporting requirements on Schedule H-3.

If additional space is needed, check box and attach an additional Schedule B.

## INFORMATION FOR COMPLETING SCHEDULE C-1 - Interests In Real Property Held By Business Entities Or Trusts

If, during the reporting period, you or your immediate family had a 10% or greater interest in a business entity or in a trust, and

If, during the reporting period, the business entity or trust held interests in real property:

You must report such interests in real property if your pro rata share of any interest in real property is \$1,000 or more and if the real property is located in your jurisdiction.

In addition, you must report the business entity holding such interests in real property as an investment on Schedule A.

**Reporting Assets Held By A Trust:** You must disclose interests in real property held by any trust in which you have a 10% or greater interest, if your pro rata share of the interest in real property is \$1,000 or more. Pursuant to FPPC Regulation 18234, you have an interest in a trust if you are:

1. *A maker and* :
  - a. can revoke or terminate the trust; or
  - b. have retained any rights to the income or principal of the trust or retained any reversionary or remainder interest; or
  - c. have retained any power of appointment including the power to change the beneficiaries.
2. *A beneficiary and*:
  - a. presently receive income; or
  - b. have an irrevocable future right to receive income or principal.

If you are only a trustee, you do not have a reportable interest in the trust.

### EXAMPLE, SCHEDULE C-1

Assume that:

- You have a 50% partnership interest in ABC Land Developers worth \$250,000.
- ABC Land Developers owns real property worth \$300,000 located within your jurisdiction. The real property was not acquired or disposed of during the reporting period.

Your 50% interest in ABC Land Developers must be reported on Schedule A. The real property held by ABC Land Developers must be reported on Schedule C-1 as follows:

INTERESTS IN REAL PROPERTY HELD BY: ABC Land Developers  
(Name Of Business Entity Or Trust Holding The Real Property)\*

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY <b>AP 029 589202</b>	CITY <b>Little Town</b>	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST <b>Ownership</b>		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	

\*Your investment in this business entity must be reported on Schedule A-Investments.

NAME \_\_\_\_\_

## Schedule C-1 - Interests In Real Property Held By A Business Entity Or Trust

(SEE INSTRUCTIONS ON PRECEDING PAGE)

INTERESTS IN REAL PROPERTY HELD BY:

(Name Of Business Entity Or Trust Holding The Real Property)\*

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	

\*Your investment in this business entity must be reported on Schedule A-Investments.

If additional space is needed, check box and attach an additional Schedule C-1.

## INFORMATION FOR COMPLETING SCHEDULE C-2 - Investments Held By Business Entities Or Trusts

If, during the reporting period, you or your immediate family had a 10% or greater interest in a business entity or in a trust, and

If, during the reporting period, the business entity or trust held investments:

You must report such investments if your pro rata share of any investment is \$1,000 or more and the investment is in a business entity located in, or doing business in, your jurisdiction (report this on Schedule C-2).

In addition, you must report the business entity holding such investments as an investment on Schedule A.

**Reporting Assets Held By A Trust:** You must disclose investments and interests in real property held by any trust in which you have a 10% or greater interest, if your pro rata share of the investment or interest in real property is \$1,000 or more. Pursuant to FPPC Regulation 18234, you have an interest in a trust if you are:

1. **A maker and :**
  - a. can revoke or terminate the trust; or
  - b. have retained any rights to the income or principal of the trust or retained any reversionary or remainder interest; or
  - c. have retained any power of appointment including the power to change the beneficiaries.
2. **A beneficiary and:**
  - a. presently receive income; or
  - b. have an irrevocable future right to receive income or principal.

If you are only a trustee, you do not have a reportable interest in the trust.

### EXAMPLE, SCHEDULE C-2

Assume that:

- ABC Land Developers owns common stock worth \$3,000 in Ajax Building Materials, which does business in your jurisdiction. The investment was not acquired or disposed of during the reporting period.

Your 50% interest in ABC Land Developers must be reported on Schedule A. The common stock held by ABC Land Developers must be reported on Schedule C-2 as follows:

INVESTMENTS HELD BY: ABC Land Developers  
(Name Of Business Entity Or Trust Holding The Investment(s))\*

NAME OF BUSINESS ENTITY <b>Ajax Building Materials</b>		FAIR MARKET VALUE <input checked="" type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP <b>Common Stock</b>	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <b>Manufactures Building Supplies</b>		

\*Your investment in this business entity must be reported on Schedule A-Investments.

NAME \_\_\_\_\_

## Schedule C-2 - Investments Held By A Business Entity Or Trust

(SEE INSTRUCTIONS ON PRECEDING PAGE)

INVESTMENTS HELD BY: \_\_\_\_\_  
 (Name Of Business Entity Or Trust Holding The Investment(s))\*

NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

\*Your investment in this business entity must be reported on Schedule A-Investments.

If additional space is needed, check box and attach an additional Schedule C-2.

## INFORMATION FOR COMPLETING SCHEDULE D - Income (Other Than Loans, Gifts And Honoraria)

**Important:** Under the Political Reform Act, reportable income is different from income for tax purposes.

You must report your gross income and your community property interest in your spouse's gross income. Gross income is the total amount of income before deducting expenses, losses or taxes. Income aggregating \$250 or more received from any source located in or doing business in your jurisdiction must be disclosed.

**A source of income is located in or doing business in the jurisdiction if it or a parent, subsidiary, or a related business entity:**

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

**Reportable income includes:**

- Commission income. (See Schedule H-1-Commission Income.)
- Salary/wages, per diem, reimbursement for expenses. (See exclusions below. Also see Schedule D-1-Income -- Travel Payments, Advances, Reimbursements.)
- Income from a business entity and your pro rata share of the total gross payments received by a business entity (including rental property) or a trust in which you or your spouse had an ownership interest of 10% or more.
- Your community property interest (50%) in your spouse's income.
- Proceeds from any sale, including the sale of a house or car. (The total sale price is reportable regardless of any obligation you might have to pay on loans secured by the property.)
- Rental income.
- Prizes or awards not disclosed as gifts.
- Forgiveness of a loan or other indebtedness.
- Loan payments received by you.

**You are not required to disclose the following types of income:**

- Salary or reimbursements for expenses and per diem from a federal, state or local government agency.
- Reimbursement for travel expenses and per diem received from a bona fide educational, academic or charitable organization.
- Campaign contributions.
- A devise or inheritance. (*However, inheritance of an investment or an interest in real property may be required to be reported on Schedule A or B.*)
- Dividends, interest or other return on a security which is registered with the Securities and Exchange Commission.
- Payments received under an insurance policy.
- Interest, dividends or premiums on a time or demand deposit in a financial institution, shares in a credit union, an insurance policy, or a bond or other debt instrument issued by a government agency.
- Income of dependent children.
- Payments received under a defined benefit pension plan qualified under Internal Revenue Code Section 401(a).

### EXAMPLE, SCHEDULE D

Assume that you received income from the following sources during the reporting period:

- \$4,800 in total rental income from a duplex, which is in your jurisdiction.
- Your spouse received \$18,000 in salary from General Telephone Company, a manufacturing firm which sells products in your jurisdiction.

Schedule D-1 should be completed as follows:

**GROSS INCOME RECEIVED:**

NAME OF THE SOURCE OF INCOME <b>Duplex</b>	<input type="checkbox"/> \$250 - \$1,000
ADDRESS <b>104 &amp; 106 Main Street, Little Town, CA</b>	<input checked="" type="checkbox"/> \$1,001 - \$10,000
NATURE OF BUSINESS, IF ANY <b>Rental Property</b>	<input type="checkbox"/> Over \$10,000
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED <b>Rents</b>	
NAME OF THE SOURCE OF INCOME <b>General Telephone Company</b>	<input type="checkbox"/> \$250 - \$1,000
ADDRESS <b>567 Main Street, Little Town, CA</b>	<input checked="" type="checkbox"/> \$1,001 - \$10,000
NATURE OF BUSINESS, IF ANY <b>Manufactures Telephone Equipment</b>	<input type="checkbox"/> Over \$10,000
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED <b>Spouse's Salary</b>	



NAME \_\_\_\_\_

**Schedule D - Income**  
**(Other Than Loans, Gifts And Honoraria)**  
 (SEE INSTRUCTIONS ON PRECEDING PAGE)

**GROSS INCOME RECEIVED:**

NAME OF THE SOURCE OF INCOME	<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF THE SOURCE OF INCOME	<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF THE SOURCE OF INCOME	<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF THE SOURCE OF INCOME	<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	

If additional space is needed, check box and attach an additional Schedule D.

## INFORMATION FOR COMPLETING SCHEDULE D-1 - Income -- Travel Payments, Advances, Reimbursements

Schedule D-1 is for disclosing payments, advances, or reimbursements for travel, including actual transportation and related lodging and subsistence.\*

In addition to disclosing the source, date, amount, and purpose of the payment, check the box to indicate if the payment was a gift or income. To determine if travel payments are gifts or income:

- Travel payments are gifts if you did not provide services which were equal to or greater in value than the payments received. You must disclose gifts aggregating \$50 or more from a single source during the period covered by the statement.
- Travel payments are income if you provided services which were equal to or greater in value than the payments received. You must disclose income aggregating \$250 or more from a single source during the period covered by the statement.

Gov. Code Section 82028 provides that any person, other than a defendant in a criminal action, who claims that a payment is not a gift by reason of receipt of consideration has the burden of proving that the consideration received is of equal or greater value.

You are not required to disclose the following types of travel payments:

- Payment or reimbursement for transportation within California in connection with an event at which you gave a speech, participated in a panel or seminar or performed a similar service.
- Food, beverages, and necessary accommodations received directly in connection with an event held inside or outside California at which you gave a speech, participated in a panel, or provided a similar service.
- Travel payments received from your government agency, or from any state, local, or federal government agency for which you provided equal or greater consideration for the payments received.
- Travel payments received from a bona fide educational, academic, or charitable organization for which you provided equal or greater consideration for the payments received.

### EXAMPLE, SCHEDULE D-1

Assume that you attended a trade show in Mudville, CA:

- The American Manufacturers Assn. paid \$175 for your travel and accommodations

Schedule D-1 should be completed as follows:	DATE(S): (mo, day & yr)	AMOUNT(S):	PURPOSE AND LOCATION OF TRAVEL:
<small>NAME OF SOURCE</small> <p style="text-align: center;"><b>American Manufacturers Assn.</b></p> <hr/> <small>ADDRESS</small> <p style="text-align: center;"><b>77 Green Lane, Mudville, CA</b></p> <hr/> <small>NATURE OF BUSINESS ACTIVITY, IF ANY</small> <p style="text-align: center;"><b>Trade Association</b></p> <hr/> <small>TYPE OF PAYMENT: (CHECK ONE)</small> <input checked="" type="checkbox"/> GIFT <input type="checkbox"/> INCOME	5/15/92	\$175	transportation and accommodations to attend trade show

\* In lieu of disclosing travel payments on Schedule D-1, such payments may be disclosed on Schedule D (Income) or Schedule F (Gifts).

NAME \_\_\_\_\_

## Schedule D-1 - Income -- Travel Payments, Advances, Reimbursements

(SEE INSTRUCTIONS ON PRECEDING PAGE)

	DATE(S): <small>(mo, day &amp; yr)</small>	AMOUNT(S):	PURPOSE AND LOCATION OF TRAVEL:
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			

If additional space is needed, check box and attach an additional Schedule D-1.

## INFORMATION FOR COMPLETING SCHEDULE E - Income -- Loans (Received Or Outstanding During The Reporting Period)

You must report loans, including real estate loans and margin accounts, received by either yourself or your spouse aggregating \$250 or more from a single source which is located in, or doing business in, your jurisdiction. All reportable loans received or outstanding during the reporting period must be disclosed. Note: If you have received more than one loan from a single source, you must aggregate the amounts to determine if you have received \$250 or more from a single source. Report each loan separately.

**A source of income is located in or doing business in the jurisdiction if it or a parent, subsidiary, or a related business entity:**

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

**You are not required to disclose the following loans:**

- Any loan from a commercial lending institution used to purchase, refinance the purchase of, or for improvements to, your principal place of residence if the loan was made in the lender's regular course of business on terms available to members of the public without regard to official status.
- Loans from a commercial lending institution in the normal course of business which, in the aggregate, did not exceed \$10,000 at any time during the reporting period.
- Any indebtedness created as part of a retail installment or credit card transaction made in the creditor's regular course of business on terms available to the public without regard to official status if the aggregate amount owed to the creditor did not exceed \$10,000 at any time during the reporting period.
- Any loan from your spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of any such person, except that a loan from any such person is reportable if the person is acting as an intermediary or agent for any person not covered by this provision.

### EXAMPLE, SCHEDULE E

Assume that:

- You received an equity loan in the amount of \$15,000 from Greatest Savings and Loan on your principal place of residence to consolidate your bills and to take a vacation.
- You received a personal loan from a friend in the amount of \$1,500.

You must report:

- The equity loan on your principal place of residence because the loan amount was over \$10,000 and the money was not used to refinance or for improvements to your principal residence.
- The loan from your friend.

Schedule E should be completed as follows:

NAME OF LENDER		AMOUNT OF HIGHEST BALANCE DURING THE REPORTING PERIOD:	
<b>Greatest Savings &amp; Loan</b>		<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input checked="" type="checkbox"/> Over \$10,000	
ADDRESS <b>123 Main Street, Little Town</b>			
NATURE OF BUSINESS, IF ANY <b>Lending Institution</b>	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <b>Personal Residence</b> <input type="checkbox"/> NONE		INTEREST RATE <b>14%</b> <input type="checkbox"/> NONE	
NAME OF LENDER <b>Martin Smith</b>		<input type="checkbox"/> \$250 - \$1,000 <input checked="" type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000	
ADDRESS <b>567 A Street, Little Town</b>			
NATURE OF BUSINESS, IF ANY <b>Construction</b>	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input checked="" type="checkbox"/> NONE		INTEREST RATE <b>10%</b> <input type="checkbox"/> NONE	

NAME \_\_\_\_\_

**Schedule E - Income -- Loans**  
**(Received or Outstanding During the Reporting Period)**

(SEE INSTRUCTIONS ON PRECEDING PAGE)

		AMOUNT OF HIGHEST BALANCE DURING THE REPORTING PERIOD:	
NAME OF LENDER		<input type="checkbox"/>	\$250 - \$1,000
ADDRESS		<input type="checkbox"/>	\$1,001 - \$10,000
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAYED DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/>	Over \$10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input type="checkbox"/> NONE		INTEREST RATE <input type="checkbox"/> NONE	
NAME OF LENDER		<input type="checkbox"/>	\$250 - \$1,000
ADDRESS		<input type="checkbox"/>	\$1,001 - \$10,000
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAYED DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/>	Over \$10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input type="checkbox"/> NONE		INTEREST RATE <input type="checkbox"/> NONE	
NAME OF LENDER		<input type="checkbox"/>	\$250 - \$1,000
ADDRESS		<input type="checkbox"/>	\$1,001 - \$10,000
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAYED DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/>	Over \$10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input type="checkbox"/> NONE		INTEREST RATE <input type="checkbox"/> NONE	
NAME OF LENDER		<input type="checkbox"/>	\$250 - \$1,000
ADDRESS		<input type="checkbox"/>	\$1,001 - \$10,000
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAYED DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/>	Over \$10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input type="checkbox"/> NONE		INTEREST RATE <input type="checkbox"/> NONE	

If additional space is needed, check box and attach an additional Schedule E.

## INFORMATION FOR COMPLETING SCHEDULE F - Income -- Gifts

"Gift" means anything of value, whether tangible or intangible, for which equal or greater value is not provided.

You must disclose gifts with an aggregate value of \$50 or more received during the reporting period from a single source, without regard to the location of the donor (inside or outside your jurisdiction). Any number of gifts from one person, the values of which add up to \$50 or more during the reporting period, must be disclosed.

It is the acceptance of the gift, and not the ultimate use to which it is put, that imposes a reporting obligation on you. Thus, you must report a gift even if you never make use of it (unless you return the gift or donate it to a charity) or if you give it away to another person. (Note: There are special rules for reporting gifts of free passes or tickets. (Commission Regulation 18946.1.)) If the exact amount of the gift is not known, you must make a good faith estimate of the item's fair market value. Listing the value of the gift as "over \$50" or "value unknown" is not adequate disclosure. **Gifts are reportable without regard to where the donor is located.**

See Schedule D-1- Income -- Travel Payments, Advances, Reimbursements for information concerning payments, including advances and reimbursements, for travel.

**IMPORTANT:** If you receive a gift through an intermediary, you must disclose the name, address and business activity of both the donor and the intermediary.

### You are not required to disclose the following types of gifts:

- Gifts of hospitality involving food, drink or occasional lodging provided in an individual's home.
- Gifts equal in value exchanged between you and an individual, other than a lobbyist, on holidays, birthdays, or similar occasions.
- Gifts of informational material provided to assist you in the performance of your official duties, such as books, pamphlets, reports, calendars or periodicals.
- Gifts from your spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, aunt, uncle, niece, nephew, or first cousin or the spouse of any such person, provided that a gift from any such person must be disclosed if the person is acting as an agent or intermediary for a reportable source of income.
- A bequest or inheritance. (However, an inheritance of an investment or an interest in real property may be required to be reported on Schedule A or B.)
- Gifts which are not used and which, within 30 days after receipt, are returned to the donor or delivered to a charitable organization without being claimed as a charitable contribution for tax purposes.
- Personalized plaques and trophies with an individual value of less than \$250.
- Campaign contributions.
- Food, beverage, and necessary accommodations received directly in connection with an event at which you gave a speech, participated in a panel, or provided a similar service.

### EXAMPLE, SCHEDULE F

Assume that:

- You received season tickets worth \$200 to professional baseball games from Valley Pipe Systems. You used the tickets.

Schedule F should be completed as follows:

	DATE(S) RECEIVED: <small>(mo, day &amp; yr)</small>	VALUE(S):	DESCRIPTION OF GIFT(S):
<small>NAME OF DONOR</small> <p style="text-align: center;"><b>Valley Pipe Systems</b></p>	March 1, 1992	\$200	Season tickets to Hometown A's baseball team
<small>ADDRESS</small> <p style="text-align: center;"><b>200 E. Fifth, Hometown</b></p>			
<small>NATURE OF BUSINESS, IF ANY</small> <p style="text-align: center;"><b>Manufactures Irrigation &amp; Plumbing Supplies</b></p>			

NAME \_\_\_\_\_

**Schedule F - Income -- Gifts**  
(SEE INSTRUCTIONS ON PRECEDING PAGE)

	DATE(S) RECEIVED: (mo, day & yr)	VALUE(S):	DESCRIPTION OF GIFT(S):
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			

If additional space is needed, check box and attach an additional Schedule F.

## INFORMATION FOR COMPLETING SCHEDULE G - Income -- Honoraria

"Honorarium" means a payment for making a speech, publishing an article, or attending any public or private conference, convention, meeting, social event, meal, or like gathering. You must report all honoraria aggregating \$50 or more received from a single source during the reporting period.

**Payment or reimbursement for travel in connection with an event:** Payment or reimbursement for travel in connection with an event at which you gave a speech, participated in a panel or seminar or performed a similar service is reportable on Schedule D-1 - Income -- Travel Payments, Advances, Reimbursements if the travel was outside California. Payment or reimbursement for travel in connection with such an event within California is not reportable.

In addition, any extra benefits (such as an extra night's lodging) which were not provided directly in connection with your participation in the event must be reported as a gift.

**You are not required to disclose the following benefits provided directly in connection with the event in which you participated:**

- Free admission
- Accommodations directly in connection with the event
- Food and beverages at the event
- Similar nominal benefits
- Reimbursement or payment for travel within California

### EXAMPLE, SCHEDULE G

Assume that you are a local elected official and you address the annual convention of the American Growers Association in Golden, California. The Association pays you:

- \$300 for speaking
- \$100 to reimburse your travel expenses

You are provided dinner at the event before your speech.

You are not required to disclose:

- The \$100 reimbursement for travel in California
- The value of the dinner

Schedule G should be completed as follows:

	DATE(S): <small>(mo, day &amp; yr)</small>	VALUE(S):	DESCRIPTION OF SERVICES:
NAME OF SOURCE <b>American Growers Association</b>	1/15/92	\$300	speaker at annual convention
ADDRESS <b>1526 States Ave., Golden, CA</b>			
NATURE OF BUSINESS ACTIVITY, IF ANY <b>Produce Growers</b>			



NAME \_\_\_\_\_

**Schedule G - Income -- Honoraria**  
(SEE INSTRUCTIONS ON PRECEDING PAGE)

	DATE(S): (mo, day & yr)	AMOUNT(S):	DESCRIPTION OF SERVICES:
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			

If additional space is needed, check box and attach an additional Schedule G.

## INFORMATION FOR COMPLETING SCHEDULE H-1-- Commission Income

Brokers, agents, or salespersons are required to disclose the names of sources of commission income if their pro rata share of the gross commission income amounts to \$10,000 or more from a single source. **No ownership interest in a business entity is necessary to require disclosure or disqualification under this provision.** "Commission income" means gross payments received as a result of services rendered as a broker, agent, or salesperson. Persons who must report commission income include: insurance brokers or agents, real estate brokers or agents, travel agents or salespersons, stockbrokers, and retail or wholesale salespersons. The "source" of commission income may be a client or customer or it may be a broker, a company or other business entity through which the goods or services were sold, or it may be both. (See example below.) NOTE: If you have reported yourself or your employer as a source of commission income on Schedule D, you are not required to itemize yourself or your employer as a source of income on Schedule H-1.

During the reporting period, did you or your spouse receive "commission income" for services rendered as a broker, agent, or salesperson?

NO --- You are not required to complete Schedule H-1.

YES --- You must provide the name of each individual or entity which:

- Is located in, or doing business in, your jurisdiction; and
- Was a source of income, provided that your pro rata share of such receipts was \$10,000 or more.

### EXAMPLE, SCHEDULE H-1

Assume that:

- You are an agent for Smith and Jones Insurance Company. You sold a Businessmen's Insurance Company insurance policy to XYZ Company. You received commission income of \$15,000 from the transaction.
- Your spouse is a real estate broker for Goldwater Realty and received a commission of \$20,000 from the Grand Company.

You would:

- Report both XYZ Company and Businessmen's Insurance Company as sources of commission income because each was a source of \$10,000 or more. Smith and Jones is disclosed on Schedule D as a source of commission income, and on Schedule H-1 as the business entity through which you received the income.
- Report Grand Company, your spouse's client, because your community property share (50%) of the gross receipts amounted to \$10,000 and would meet the reporting threshold. You would also report your community property share of your spouse's income from Goldwater Realty on Schedule D as a source of income.

Schedule H-1 would be completed as follows:

NAME OF BUSINESS ENTITY	Smith and Jones Insurance Company
NAMES OF SOURCES OF INCOME	XYZ Company
	Businessmen's Insurance Company
NAME OF BUSINESS ENTITY	Goldwater Realty
NAMES OF SOURCES OF INCOME	Grand Company

NAME \_\_\_\_\_

**Schedule H**

(SEE INSTRUCTIONS ON PRECEDING PAGES)

**Schedule H-1**

**Commission Income Received By Brokers, Agents And Salespersons**

NAME OF BUSINESS ENTITY	
NAMES OF SOURCES OF INCOME	

**Schedule H-2**

**Income And Loans To A Business Entity Or Trust**

NAME OF BUSINESS ENTITY OR TRUST	ADDRESS OF BUSINESS ENTITY OR TRUST
NATURE OF BUSINESS	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST	

**Schedule H-3**

**Income From Rental Property**

You must disclose the name(s) of any renter(s) who made rent payments, provided that your pro rata share of such receipts from any one renter was \$10,000 or more.

ADDRESS OF RENTAL PROPERTY	CITY
NAMES OF RENTERS	
ADDRESS OF RENTAL PROPERTY	CITY
NAMES OF RENTERS	

If additional space is needed, check box and attach additional schedules.

## INFORMATION FOR COMPLETING SCHEDULES H-2 AND H-3 -- Income And Loans To Business Entities Or Trusts And Income From Rental Property

If, during the reporting period, you and your spouse owned an aggregate interest of 10% or more in any business entity (including rental property) or a trust, you must provide the name of each individual or entity which:

- Is located in, or is doing business in, your jurisdiction; and
- Was a source of income, including loans received or outstanding, to the business entity or trust, provided that your pro rata share of such payments or receipts was \$10,000 or more (Schedule H-2), or
- Made rent payments, provided that your pro rata share of such receipts was \$10,000 or more (Schedule H-3).

**IMPORTANT:** The requirement to report sources of income to a business entity or trust is determined by the location of the source of loan or income--not by the location of the business entity or trust which received the loan or income or by the location of the rental property.

If the business entity in which you have a 10% or greater interest is located in or doing business in your jurisdiction, it must also be reported on Schedule A and you may be required to complete Schedules C-1 and C-2. Your pro rata share of the gross income to the business entity must also be reported on Schedule D.

If the rental property in which you have a 10% or greater interest is located in your jurisdiction, it must also be reported on Schedule B. Your pro rata share of the gross rental income from the property must also be reported on Schedule D.

FPPC Regulation 18740 provides a procedure for obtaining an exemption from the disclosure requirement of clients' names which are legally recognized as privileged under California law. You may obtain a copy of Regulation 18740 by contacting the Fair Political Practices Commission.

**NOTE:** If your pro rata share of income or a loan to a business entity or trust is \$250 or more, you may be required to disqualify yourself from decisions affecting that source of income or loan, even though you are not required to report it.

### EXAMPLE, SCHEDULE H-2

Assume that:

- During the reporting period, ABC Land Developers, in which you have a 50% partnership interest, received a loan from the Little Town Bank in the amount of \$30,000. The bank has a branch which is located in your jurisdiction.
- ABC Land Developers received a gross payment from John H. Brown, a customer, in the amount of \$15,000. Mr. Brown is doing business in your jurisdiction.
- Your spouse owns 100% of a law firm. A client paid your spouse \$20,000 during the reporting period. This client is doing business in your jurisdiction.

You would:

- Report the loan to ABC Land Developers from the Little Town Bank because your pro rata share (50%) of the \$30,000 loan is \$15,000 and meets the \$10,000 reporting threshold.
- Not report the name of John H. Brown, a customer, because your pro rata share (50%) of his \$15,000 gross payment is only \$7,500 and does not meet the \$10,000 reporting threshold. However, Mr. Brown is a source of income to you, and you may have to disqualify yourself from decisions affecting Mr. Brown.
- Report your spouse's law firm and its client because your pro rata share (50%) of the \$20,000 paid to the law firm is \$10,000 and meets the reporting threshold.

Schedule H-2 would be completed as follows:

NAME OF BUSINESS ENTITY OR TRUST <b>ABC Land Developers</b>	ADDRESS OF BUSINESS ENTITY OR TRUST <b>5744 Tenth Street, Little Town</b>
NATURE OF BUSINESS, IF ANY <b>Land Developers</b>	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST <b>Little Town Bank</b>	
NAME OF BUSINESS ENTITY OR TRUST <b>Smith and Jones</b>	ADDRESS OF BUSINESS ENTITY OR TRUST <b>1261 High Street, Little Town</b>
NATURE OF BUSINESS, IF ANY <b>Law Firm</b>	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST <b>Joseph Brown and Associates</b>	

### EXAMPLE, SCHEDULE H-3

Assume that:

- You own 25% of a commercial building located in your jurisdiction from which rental income was received from a single tenant in the amount of \$40,000.

You would:

- Report the name of the rental tenant who provided payments in the amount of \$40,000 to the commercial building because your pro rata share (25% of the rental payments) is \$10,000 and would meet the reporting threshold.

Schedule H-3 would be completed as follows:

ADDRESS OF RENTAL PROPERTY <b>14738 Spruce Street</b>	CITY <b>Little Town</b>
NAMES OF RENTERS <b>Sylvan Taylor, M.D.</b>	








# 1992-1993

## STATEMENT OF ECONOMIC INTERESTS FOR DESIGNATED EMPLOYEES

### FORM 730 AND INSTRUCTIONS

IF YOU ARE AN OFFICIAL OR EMPLOYEE WHOSE POSITION IS DESIGNATED IN A  
CONFLICT OF INTEREST CODE, USE THE FORM 730 TO FILE:

-  ASSUMING OFFICE STATEMENT
-  ANNUAL STATEMENT
-  LEAVING OFFICE STATEMENT
-  INITIAL STATEMENT
-  CANDIDATE STATEMENT

Prepared by the  
Fair Political Practices Commission  
P.O. Box 807  
Sacramento, CA 95812  
Phone: (916) 322-5662

## **GIFT LIMITATIONS AND HONORARIA PROHIBITION**

### **Gift Limitations**

Elected State Officers may not accept gifts with a value of more than \$250 in a calendar year from a single source.

Local Elected Officers may not accept gifts and honoraria with a value of more than \$1,000 in a calendar year from a single source.

State Board and Commission Members, and State Agency Officials and Designated Employees may not accept gifts with a value of more than \$250 in a calendar year from a single source, if the member or employee would be required to report the receipt of income or gifts from that source on his or her statement of economic interests.

This prohibition does not apply to a part-time member of the governing board of a public institution of higher education, unless the member is also an elected official.

### **Exceptions To Gift Limit:**

The following are not prohibited or limited by the Political Reform Act:

- The items listed in "Exceptions From Disclosure" in the instructions for "Completing Schedule F -- Gifts" of this disclosure form.
- Payments, advances, or reimbursements for travel and related lodging and subsistence as described in "Travel Payments." However, many payments for travel, lodging and subsistence must be disclosed.
- Wedding gifts. However, wedding gifts must be disclosed.

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Gifts From Lobbyists: Elected state officers and certain state board and commission members and state agency officials and employees are prohibited from accepting a gift or gifts, including wedding gifts, aggregating more than \$10 in a month from a lobbyist or lobbying firm registered with the Secretary of State.

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"Gift" means anything of value, whether tangible or intangible, for which equal or greater value is not provided. You must disclose gifts with an aggregate value of \$50 or more received during the reporting period from a single source, without regard to the location of the donor (inside or outside your jurisdiction). Any number of gifts from one person, the values of which add up to \$50 or more during the reporting period, must be disclosed.

### **Honoraria Prohibition**

Elected State Officers may not accept any honorarium. "Honorarium" means, with the exceptions noted below, any payment made in consideration for any speech given, article published, or attendance at any public or private conference, convention, meeting, social event, meal, or like gathering.

Local Elected Officers may not accept honoraria for any speech given, article published, or attendance at any public or private conference, convention, meeting, social event, meal, or like gathering, or gifts which aggregate more than \$1,000 from a single source in a calendar year.



Members of State Boards and Commissions, and Employees of State Agencies may not accept an honorarium from any source, if the member or employee would be required to report the receipt of income or gifts from that source on his or her statement of economic interests.

This prohibition does not apply to any part-time member of the governing board of any public institution of higher education unless the member is also an elected official.

#### **Exceptions To Honoraria Prohibition:**

1. "Honorarium" does not include earned income for personal services which are customarily provided in connection with the practice of a bona fide business, trade, or profession, such as teaching, practicing law, medicine, insurance, real estate, banking, or building contracting, unless the sole or predominant activity of the business, trade, or profession is making speeches.

2. This prohibition does not apply to payments, advances, and reimbursements for travel and related lodging and subsistence, as described in "Travel Payments" below.

3. This prohibition does not apply to any honorarium which is not used and, within 30 days after receipt, is either returned to the donor or delivered to the Controller for donation to the General Fund, without being claimed as a deduction from income for tax purposes.

#### **Travel Payments**

With the following exceptions, payments and reimbursements for travel are subject to the gift limitations set out above:

Payments, advances, or reimbursements for travel, including actual transportation and related lodging and subsistence which is reasonably related to a legislative or governmental purpose, or to an issue of state, national, or international public policy, are not prohibited or limited, if either of the following apply:

(1) The travel is in connection with a speech given by the elected officer or state employee, the lodging and subsistence expenses are limited to the day immediately preceding, the day of, and the day immediately following the speech, and the travel is within the United States.

(2) The travel is provided by a government, a governmental agency, a foreign government, a governmental authority, a bona fide public or private educational institution, as defined in Section 203 of the Revenue and Taxation Code, or a nonprofit charitable or religious organization which is exempt from taxation under Section 501(c) (3) of the Internal Revenue Code, or by a person domiciled outside the United States which substantially satisfies the requirements for tax exempt status under Section 501(c) (3) of the Internal Revenue Code.

(3) Travel costs paid from campaign funds as permitted by Government Code Section 89510, et seq.

(4) Travel provided by the agency of an elected officer, member of a state board or commission, or designated employee of a state agency.

(5) Travel which is reasonably necessary in connection with a bona fide business, trade, or profession and which satisfies the criteria for federal income tax deduction for business expenses in Sections 162 and 174 of the Internal Revenue Code, unless the sole or predominant activity of the business, trade, or profession is making speeches.

(6) Travel which is excluded from the definition of a gift by any other provision of the Political Reform Act.

NOTE: Although certain travel payments are not prohibited or limited, they may have to be disclosed. Refer to the instructions for completing Schedule D-1 for detailed information.

**TYPES OF STATEMENTS AND WHEN  
STATEMENTS MUST BE FILED**  
**FAILURE TO FILE STATEMENTS ON TIME MAY RESULT IN PENALTIES  
INCLUDING BUT NOT LIMITED TO LATE FINES.**

**Assuming Office Statement:**

File if you assume a position designated in your agency's conflict of interest code.

- a. Positions subject to State Senate confirmation -- File no later than **30 days** after your appointment or nomination by the Governor.
- b. All other positions -- File no later than **30 days** after you assume office.

**Annual Statement:**

File at the time prescribed in your agency's conflict of interest code (April 1 for most filers).

**Leaving Office Statement:**

File no later than **30 days** after you leave your designated position.

**Initial Statement:**

If your agency has a newly-adopted conflict of interest code, file no later than **30 days** after the effective date of your agency's conflict of interest code. If your position was newly designated in your agency's conflict of interest code, file no later than **30 days** after the effective date of the amendment to the code.

**Candidate Statement:**

File no later than the final filing deadline for your declaration of candidacy. (Candidates for elective offices not specified in Gov. Code Section 87200 must file a "candidate" statement (Form 730) only if required by the agency's conflict of interest code.)

**WHERE MUST STATEMENTS BE FILED?**

All statements, except those filed by State Assembly and Senate staff members, are filed with your own agency, board or commission unless otherwise specified in your agency's conflict of interest code.

State Assembly and Senate staff members file their statements directly with the Fair Political Practices Commission.

You must file an originally signed statement of economic interests with each agency, board or commission with which you hold a designated position covered by a conflict of interest code.

**CONFLICT OF INTEREST CODE DISCLOSURE CATEGORIES**

Before completing this form, contact your agency, board or commission to receive a copy of your disclosure category, which outlines the type of information you must disclose.

**FORM 730**  
**Statement of Economic Interests**  
**For Designated Employees**

Date Received by Filing Official

A PUBLIC DOCUMENT  
1992/93

(Type or Print in Ink)

NAME OF FILER

POSITION TITLE

NAME OF AGENCY

NAME OF DIVISION, UNIT, BOARD, BUREAU, ETC. (IF APPLICABLE)

MAILING ADDRESS

DAYTIME TELEPHONE NUMBER  
( )

IF YOU ARE FILING AN  
EXPANDED STATEMENT:

Name of Agency

Office/Position

**TYPE OF STATEMENT** (Check the Appropriate Box(es)): (See instructions on reverse for deadlines and information concerning your disclosure requirements.)

**ASSUMING OFFICE STATEMENT**

Date Assumed Office or, if you are an Appointed Official subject to confirmation, enter the Date Appointed or Nominated:

mo. day yr.

**ANNUAL STATEMENT**

The period covered is *January 1, 1992 through December 31, 1992.*

**LEAVING OFFICE STATEMENT** (Required to be filed when you leave your designated position.)

The period covered is *January 1, 199\_\_ through the date you left your designated position.*

Date left designated position:

mo. day yr.

**INITIAL STATEMENT** (Complete this section ONLY if your position has been recently designated or if you are filing under a new Conflict of Interest Code for your agency.)

The conflict of interest code for your agency became effective or your position was newly designated on

mo. day yr.

**CANDIDATE STATEMENT** (If required by the conflict of interest code of the jurisdiction in which you are seeking elective office.)

**VERIFICATION**

I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on \_\_\_\_\_, 19\_\_\_\_, at \_\_\_\_\_  
(month, day) (year) (city and state)

SIGNATURE \_\_\_\_\_

## FILING DEADLINES AND INSTRUCTIONS FOR COMPLETING THE COVER PAGE

- Enter your name, mailing address, and daytime telephone number. You may list your home or business address.
- Indicate the name of the agency under whose conflict of interest code you have been designated to file this statement, and your division, unit, board or bureau within that agency, if applicable.

**Expanded Statement:** A person holding two or more positions may complete one statement covering the disclosure requirements for both positions and file a copy with each agency. Each copy must contain an original signature. Reportable interests for both positions must be disclosed. Contact your filing officer for further information.

- Consult your agency's conflict of interest code to determine the types of interests you must disclose.
- Check the box(es) to indicate the type of statement being filed. If filing an assuming office statement or a leaving office statement, enter the appropriate date.

### ASSUMING OFFICE STATEMENT Newly-Appointed Officials ONLY\*

**Appointed Position Not Subject to Confirmation by the State Senate--File no later than 30 days after assuming office.**

**Appointed Position Subject to Confirmation by the State Senate--File no later than 30 days after appointment or nomination.**

- Disclose all reportable investments and interests in real property held on the date of assuming office/appointment or nomination (Schedules A, B, C-1 and C-2). In addition, disclose reportable income received during the 12 months prior to the date of assuming office/appointment or nomination (Schedules D, D-1, E, F, G and H-1 through H-3).

### ANNUAL STATEMENT

- File at the time prescribed in your agency's conflict of interest code (April 1 for most filers).
- Disclose all reportable investments, interests in real property, business positions held and income received during the period January 1, 1992 through December 31, 1992.

### LEAVING OFFICE STATEMENT

- File no later than 30 days after leaving your position.
- Disclose all reportable investments, interests in real property, and business positions held and income received at any time during the period beginning from the day after the closing date of your last statement through the date of leaving your designated position.

### CANDIDATE STATEMENT

- File no later than the final filing date for your declaration of candidacy. (If required by the conflict of interest code of the jurisdiction in which you are seeking elective office.)
- The conflict of interest code specifies the types of interests you must disclose.
- Complete the verification by entering the date and place signed, and signing the statement. An unsigned statement is considered an unfiled statement and you may be subject to late filing penalties.

\* Designated employees who are re-appointed without a break in service are not required to file an assuming office statement.

Name \_\_\_\_\_

**THE FOLLOWING SUMMARY MUST BE COMPLETED BY ALL FILERS**  
*(Do not complete this summary until you have carefully reviewed the instructions for each schedule)*

---

**SCHEDULE A -- INVESTMENTS (Which Are Not Held By A Business Entity Or Trust)**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE B -- INTERESTS IN REAL PROPERTY (Which Are Not Held By A Business Entity Or Trust)**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE C-1 -- INTERESTS IN REAL PROPERTY HELD BY A BUSINESS ENTITY OR TRUST**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE C-2 -- INVESTMENTS HELD BY A BUSINESS ENTITY OR TRUST**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE D -- INCOME (Other Than Loans And Gifts)**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE D-1 -- INCOME - TRAVEL PAYMENTS, ADVANCES, REIMBURSEMENTS**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE E -- INCOME - LOANS (Received Or Outstanding During The Reporting Period)**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE F -- INCOME - GIFTS**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE G -- BUSINESS POSITIONS**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE H-1 -- COMMISSION INCOME RECEIVED BY BROKERS, AGENTS AND SALESPERSONS**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE H-2 -- INCOME AND LOANS TO BUSINESS ENTITIES OR TRUSTS**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**SCHEDULE H-3 -- INCOME FROM RENTAL PROPERTY**

COMPLETED AND ATTACHED       NO REPORTABLE INTERESTS       SCHEDULE DOES NOT APPLY TO MY DISCLOSURE CATEGORY

---

**NOTE: AFTER THE SUMMARY PAGE HAS BEEN COMPLETED, PLEASE DISCARD THOSE SCHEDULES ON WHICH YOU HAVE NO REPORTABLE INTERESTS.**

---

You must complete the verification at the bottom of the cover page. An unsigned statement is considered an unfiled statement and you may be subject to late filing penalties.

**INFORMATION FOR COMPLETING SCHEDULE A - Investments**  
**(Which Are Not Held By A Business Entity Or Trust)**

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INVESTMENTS YOU MUST DISCLOSE.**

You must report as an investment any financial interest in any business entity located in or doing business in your jurisdiction in which you or your immediate family (your spouse and your dependent children) had a direct, indirect or beneficial interest aggregating \$1,000 or more during the reporting period. In addition, if you had a 10% or greater interest in a business entity, please see Schedules C-1, C-2 and H-2.

**A business entity is located in or doing business in the jurisdiction if it, a parent or subsidiary, or a related business entity:**

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction; or
- Plans to do business in the jurisdiction; or
- Has done business in the jurisdiction within the previous two years; or
- Has an interest in real property in the jurisdiction; or
- Has an office in the jurisdiction

**The most common types of investments are:**

- Sole proprietorships
- Partnerships
- Stocks, bonds, warrants and options including those held in margin or brokerage accounts
- Individual Retirement Accounts (IRAs) which are invested in reportable business entities or real property

**You are not required to disclose the following investments:**

- Bank accounts, savings accounts and money market accounts
- Insurance policies
- Shares in a credit union
- Government bonds
- Diversified mutual funds registered with the Securities and Exchange Commission (SEC)
- Common trust funds created under California Financial Code Section 1564
- Individual Retirement Accounts (IRAs) invested in non-reportable interests such as insurance policies, diversified mutual funds or government bonds

**EXAMPLE, SCHEDULE A**

Assume that:

- You have a 50% partnership interest in ABC Land Developers, valued at \$250,000, which you did not acquire or dispose of during the reporting period.

Your partnership in ABC Land Developers must be disclosed.

Schedule A should be completed as follows:

NAME OF BUSINESS ENTITY <b>ABC Land Developers</b>		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G. COMMON STOCK, PARTNERSHIP INTEREST <b>Partnership</b>	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest <input type="checkbox"/> Less than 10% <input checked="" type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <b>Land Developer</b>		

**NOTE: You may be required to report on Schedule D any salary, commission, distribution or other income (\$250 or more) received by you or your spouse from business entities listed on Schedule A.**

\*If you have checked this box, you must report any interests in real property and investments held by the business entity or trust on Schedules C-1 and C-2. In addition, if your pro rata share of the gross income from any one source was \$10,000 or more, you may be required report the name of that source on Schedule H-2.

**Schedule A - Investments**  
**(Which Are Not Held By A Business Entity Or Trust)**

(SEE INSTRUCTIONS ON PRECEDING PAGE)

NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year:	Ownership Interest <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	Date Acquired: _____ Date Disposed: _____	
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year:	Ownership Interest <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	Date Acquired: _____ Date Disposed: _____	
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year:	Ownership Interest <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	Date Acquired: _____ Date Disposed: _____	
NAME OF BUSINESS ENTITY		VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year:	Ownership Interest <input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	Date Acquired: _____ Date Disposed: _____	

**NOTE: YOU MAY BE REQUIRED TO REPORT ON SCHEDULE D ANY SALARY, COMMISSION, DISTRIBUTION OR OTHER INCOME (\$250 OR MORE) RECEIVED BY YOU OR YOUR SPOUSE FROM ANY BUSINESS ENTITY LISTED ON SCHEDULE A.**

\*If you have checked this box, you must report on Schedules C-1 and C-2 any interests in real property and investments held by the business entity. In addition, if your pro rata share of the gross income from any one source was \$10,000 or more, you may be required to report the name of that source on Schedule H-2.

If additional space is needed, check box and attach an additional Schedule A.

# INFORMATION FOR COMPLETING SCHEDULE B - Interests In Real Property (Which Are Not Held By A Business Entity Or Trust)

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INTERESTS YOU MUST DISCLOSE.**

You must report interests in real property located in your jurisdiction, in which you or your immediate family had a direct, indirect or beneficial interest aggregating \$1,000 or more at any time during the reporting period.

## Jurisdiction for reporting interests in real property:

Judges, court commissioners, state officeholders and state agency officials and employees have statewide jurisdiction and must report real property located anywhere within the State of California. An interest in real property is in the jurisdiction of a city or county if it is located in, or within two miles of, the city or county, or within two miles of any land owned or used by the city or county.

## Interests in real property include:

- A leasehold interest\*
- A beneficial ownership interest
- A deed of trust .
- An easement
- An option to acquire any of the above
- An interest in real property held by an IRA in which you have a 10% or greater interest

## You are not required to disclose the following interests in real property:

- Any residence which you utilize exclusively as your personal residence, unless it is also a place of business, e.g., family farm, retail business, or vacation rental, in which case you are required to disclose only the value of that portion of the property which is used in connection with your business activities.
- Interests in real property acquired by a blind trust pursuant to FPPC Regulation 18235.

## Reporting Interests in Rental Property and Income from Rental Property

If you or your immediate family have an interest in a rental property directly, i.e., not through a business entity, you must report:

- The property on Schedule B;
- Your share of the total amount of rental income received from the property on Schedule D; and
- If your ownership interest is 10% or greater, the name of any tenant who paid rent of which your share was \$10,000 or more on Schedule H-3.

If you or your immediate family own a 10% or greater interest in a business entity, such as a partnership or joint venture, which owns real property, you must report:

- The business entity on Schedule A;
- The property held by the business entity on Schedule C-1;
- Your share of the total amount of income, including rents, received from the business entity on Schedule D;
- The name of any client or customer who provided income to the business entity of which your pro rata share was \$10,000 or more on Schedule H-2.

\*An interest in a lease on real property is reportable if the value of the leasehold interest is \$1,000 or more. The value of the leasehold interest is the total amount of rent owed by you during the reporting period, or on an assuming office statement, during the prior 12 months. No leasehold interest with a value of less than \$1,000 need be reported. When reporting a leasehold interest, you must include the address or other precise location of the leased property and the date upon which the lease became effective, if this occurred during the reporting period.

### EXAMPLE, SCHEDULE B

Assume that:

- You have a 25% interest in a commercial rental building at 14738 Spruce Street, Little Town. The fair market value of your interest in the building is \$85,000 and you did not acquire or dispose of the property during the reporting period.

Schedule B should be completed as follows:

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY <b>14738 Spruce Street</b>		CITY <b>Little Town</b>	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input checked="" type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST <b>Ownership</b>			
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	<input type="checkbox"/> Less than 10% <input checked="" type="checkbox"/> 10% or greater*

**NOTE:** You may be required to report on Schedule D any income (\$250 or more) received by you or your spouse from rental property listed on Schedule B.

\*If you have a 10% or greater interest in a rental property, you may have additional reporting requirements on Schedule H-3.



NAME \_\_\_\_\_

## Schedule B - Interests In Real Property (Which Are Not Held By A Business Entity Or Trust)

(SEE INSTRUCTIONS ON PRECEDING PAGE)

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY	FAIR <input type="checkbox"/> \$1,000-\$10,000 MARKET <input type="checkbox"/> \$10,001-\$100,000 VALUE <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST			
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	<input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY	FAIR <input type="checkbox"/> \$1,000-\$10,000 MARKET <input type="checkbox"/> \$10,001-\$100,000 VALUE <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST			
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	<input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY	FAIR <input type="checkbox"/> \$1,000-\$10,000 MARKET <input type="checkbox"/> \$10,001-\$100,000 VALUE <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST			
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	<input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY	FAIR <input type="checkbox"/> \$1,000-\$10,000 MARKET <input type="checkbox"/> \$10,001-\$100,000 VALUE <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST			
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	<input type="checkbox"/> Less than 10% <input type="checkbox"/> 10% or greater*

**NOTE:** You may be required to report on Schedule D any income (\$250 or more) received by you or your spouse from rental property listed on Schedule B.

\*If you have a 10% or greater interest in a rental property, you may have additional reporting requirements on Schedule H-3.

If additional space is needed, check box and attach an additional Schedule B.

## INFORMATION FOR COMPLETING SCHEDULE C-1 - Interests In Real Property Held By Business Entities Or Trusts

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT  
OF INTEREST CODE TO DETERMINE THE TYPE OF INTERESTS YOU MUST DISCLOSE.**

If, during the reporting period, you or your immediate family had a 10% or greater interest in a business entity or in a trust, and

If, during the reporting period, the business entity or trust held interests in real property:

You must report such interests in real property if your pro rata share of any interest in real property is \$1,000 or more and if the real property is located in your jurisdiction.

In addition, you must report the business entity holding such interests in real property as an investment on Schedule A.

**Reporting Assets Held By A Trust:** You must disclose interests in real property held by any trust in which you have a 10% or greater interest, if your pro rata share of the interest in real property is \$1,000 or more. Pursuant to FPPC Regulation 18234, you have an interest in a trust if you are:

1. **A maker and :**
  - a. can revoke or terminate the trust; or
  - b. have retained any rights to the income or principal of the trust or retained any reversionary or remainder interest; or
  - c. have retained any power of appointment including the power to change the beneficiaries.
2. **A beneficiary and:**
  - a. presently receive income; or
  - b. have an irrevocable future right to receive income or principal.

If you are only a trustee, you do not have a reportable interest in the trust.

### EXAMPLE, SCHEDULE C-1

Assume that:

- You have a 50% partnership interest in ABC Land Developers worth \$250,000.
- ABC Land Developers owns real property worth \$300,000 located within your jurisdiction. The real property was not acquired or disposed of during the reporting period.

Your 50% interest in ABC Land Developers must be reported on Schedule A. The real property held by ABC Land Developers must be reported on Schedule C-1 as follows:

INTERESTS IN REAL PROPERTY HELD BY: ABC Land Developers  
(Name Of Business Entity Or Trust Holding The Real Property)\*

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY <b>AP 029 589202</b>	CITY <b>Little Town</b>	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input checked="" type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST <b>Ownership</b>		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	

\*Your investment in this business entity must be reported on Schedule A-Investments.

NAME \_\_\_\_\_

## Schedule C-1 - Interests In Real Property Held By A Business Entity Or Trust

(SEE INSTRUCTIONS ON PRECEDING PAGE)

INTERESTS IN REAL PROPERTY HELD BY:

(Name Of Business Entity Or Trust Holding The Real Property)\*

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	

\*Your investment in this business entity must be reported on Schedule A-Investments.

If additional space is needed, check box and attach an additional Schedule C-1.

## INFORMATION FOR COMPLETING SCHEDULE C-2 - Investments Held By Business Entities Or Trusts

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT  
OF INTEREST CODE TO DETERMINE THE TYPES INTERESTS YOU MUST DISCLOSE.**

If, during the reporting period, you or your immediate family had a 10% or greater interest in a business entity or in a trust, and

If, during the reporting period, the business entity or trust held investments:

- You must report such investments if your pro rata share of any investment is \$1,000 or more and the investment is in a business entity located in, or doing business in, your jurisdiction (report this on Schedule C-2).

In addition, you must report the business entity holding such investments as an investment on Schedule A.

**Reporting Assets Held By A Trust:** You must disclose investments and interests in real property held by any trust in which you have a 10% or greater interest, if your pro rata share of the investment or interest in real property is \$1,000 or more. Pursuant to FPPC Regulation 18234, you have an interest in a trust if you are:

1. **A maker and:**
  - a. can revoke or terminate the trust; or
  - b. have retained any rights to the income or principal of the trust or retained any reversionary or remainder interest; or
  - c. have retained any power of appointment including the power to change the beneficiaries.
2. **A beneficiary and:**
  - a. presently receive income; or
  - b. have an irrevocable future right to receive income or principal.

If you are only a trustee, you do not have a reportable interest in the trust.

### EXAMPLE, SCHEDULE C-2

Assume that:

- ABC Land Developers owns common stock worth \$3,000 in Ajax Building Materials, which does business in your jurisdiction. The investment was not acquired or disposed of during the reporting period.

Your 50% interest in ABC Land Developers must be reported on Schedule A. The common stock held by ABC Land Developers must be reported on Schedule C-2 as follows:

INVESTMENTS HELD BY: ABC Land Developers  
(Name Of Business Entity Or Trust Holding The Investment(s))\*

NAME OF BUSINESS ENTITY <b>Ajax Building Materials</b>		FAIR MARKET VALUE <input checked="" type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP <b>Common Stock</b>	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <b>Manufactures Building Supplies</b>		

\*Your investment in this business entity must be reported on Schedule A-Investments.

NAME \_\_\_\_\_

**Schedule C-2 - Investments Held By A Business Entity Or Trust**  
(SEE INSTRUCTIONS ON PRECEDING PAGE)

INVESTMENTS HELD BY: \_\_\_\_\_  
(Name Of Business Entity Or Trust Holding The Investment(s))\*

NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,001-\$100,000 <input type="checkbox"/> Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

\*Your investment in this business entity must be reported on Schedule A-Investments.

If additional space is needed, check box and attach an additional Schedule C-2.

## INFORMATION FOR COMPLETING SCHEDULE D - Income (Other Than Loans And Gifts)

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.**

**Important:** Under the Political Reform Act, reportable income is different from income for tax purposes.

You must report your gross income and your community property interest in your spouse's gross income. Gross income is the total amount of income before deducting expenses, losses or taxes. Income aggregating \$250 or more received from any source located in or doing business in your jurisdiction must be disclosed.

**A source of income is located in or doing business in the jurisdiction if it or a parent, subsidiary, or a related business entity:**

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

**Reportable income includes:**

- Commission income. (See Schedule H-1-Commission Income.)
- Salary/wages, per diem, reimbursement for expenses. (See exclusions below. Also see Schedule D-1-Income -- Travel Payments, Advances, Reimbursements.)
- Income from a business entity and your pro rata share of the total gross payments received by a business entity (including rental property) or a trust in which you or your spouse had an ownership interest of 10% or more.
- Your community property interest (50%) in your spouse's income.
- Proceeds from any sale, including the sale of a house or car. (The total sale price is reportable regardless of any obligation you might have to pay on loans secured by the property.)
- Rental income.
- Prizes or awards not disclosed as gifts.
- Forgiveness of a loan or other indebtedness.
- Loan payments received by you.

**You are not required to disclose the following types of income:**

- Salary or reimbursements for expenses and per diem from a federal, state or local government agency.
- Reimbursement for travel expenses and per diem received from a bona fide educational, academic or charitable organization.
- Campaign contributions.
- A devise or inheritance. (*However, inheritance of an investment or an interest in real property may be required to be reported on Schedule A or B.*)
- Dividends, interest or other return on a security which is registered with the Securities and Exchange Commission.
- Payments received under an insurance policy.
- Interest, dividends or premiums on a time or demand deposit in a financial institution, shares in a credit union, an insurance policy, or a bond or other debt instrument issued by a government agency.
- Income of dependent children.
- Payments received under a defined benefit pension plan qualified under Internal Revenue Code Section 401(a).

### EXAMPLE, SCHEDULE D

Assume that you received income from the following sources during the reporting period:

- \$4,800 in total rental income from a duplex, which is in your jurisdiction.
- Your spouse received \$18,000 in salary from General Telephone Company, a manufacturing firm which sells products in your jurisdiction.

Schedule D should be completed as follows:

**GROSS INCOME RECEIVED:**

NAME OF THE SOURCE OF INCOME <p style="text-align: center;"><b>Duplex</b></p>	<input type="checkbox"/> \$250 - \$1,000
ADDRESS <p style="text-align: center;"><b>104 &amp; 106 Main Street, Little Town, CA</b></p>	<input checked="" type="checkbox"/> \$1,001 - \$10,000
NATURE OF BUSINESS, IF ANY <p style="text-align: center;"><b>Rental Property</b></p>	<input type="checkbox"/> Over \$10,000
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED <p style="text-align: center;"><b>Rents</b></p>	
NAME OF THE SOURCE OF INCOME <p style="text-align: center;"><b>General Telephone Company</b></p>	<input type="checkbox"/> \$250 - \$1,000
ADDRESS <p style="text-align: center;"><b>567 Main Street, Little Town, CA</b></p>	<input checked="" type="checkbox"/> \$1,001 - \$10,000
NATURE OF BUSINESS, IF ANY <p style="text-align: center;"><b>Manufactures Telephone Equipment</b></p>	<input type="checkbox"/> Over \$10,000
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED <p style="text-align: center;"><b>Spouse's Salary</b></p>	

NAME \_\_\_\_\_

**Schedule D - Income  
(Other Than Loans And Gifts)**

(SEE INSTRUCTIONS ON PRECEDING PAGE)

**GROSS INCOME RECEIVED:**

NAME OF THE SOURCE OF INCOME	<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF THE SOURCE OF INCOME	<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF THE SOURCE OF INCOME	<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF THE SOURCE OF INCOME	<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	

If additional space is needed, check box and attach an additional Schedule D.

## INFORMATION FOR COMPLETING SCHEDULE D-1 - Income -- Travel Payments, Advances, Reimbursements

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT  
OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.**

Schedule D-1 is for disclosing payments, advances, or reimbursements for travel, including actual transportation and related lodging and subsistence.\*

In addition to disclosing the source, date, amount, and purpose of the payment, check the box to indicate if the payment was a gift or income. To determine if travel payments are gifts or income:

- Travel payments are gifts if you did not provide services which were equal to or greater in value than the payments received. You must disclose gifts aggregating \$50 or more from a single source during the period covered by the statement.
- Travel payments are income if you provided services which were equal to or greater in value than the payments received. You must disclose income aggregating \$250 or more from a single source during the period covered by the statement.

Gov. Code Section 82028 provides that any person, other than a defendant in a criminal action, who claims that a payment is not a gift by reason of receipt of consideration has the burden of proving that the consideration received is of equal or greater value.

You are not required to disclose the following types of travel payments:

- Payment or reimbursement for transportation within California in connection with an event at which you gave a speech, participated in a panel or seminar or performed a similar service.
- Food, beverages, and necessary accommodations received directly in connection with an event held inside or outside California at which you gave a speech, participated in a panel, or provided a similar service.
- Travel payments received from your government agency, or from any state, local, or federal government agency for which you provided equal or greater consideration for the payments received.
- Travel payments received from a bona fide educational, academic, or charitable organization for which you provided equal or greater consideration for the payments received.

### EXAMPLE, SCHEDULE D-1

Assume that you attended a trade show in Mudville, CA:

- The American Manufacturers Assn. paid \$175 for your travel and accommodations

Schedule D-1 should be completed as follows:	DATE(S): (mo, day & yr)	AMOUNT(S):	PURPOSE AND LOCATION OF TRAVEL:
<small>NAME OF SOURCE</small> <b>American Manufacturers Assn.</b>	5/15/92	\$175	transportation and accommodations to attend trade show
<small>ADDRESS</small> <b>77 Green Lane, Mudville, CA</b>			
<small>NATURE OF BUSINESS ACTIVITY, IF ANY</small> <b>Trade Association</b>			
<small>TYPE OF PAYMENT: (CHECK ONE)</small> <input checked="" type="checkbox"/> GIFT <input type="checkbox"/> INCOME			

\*In lieu of disclosing Travel Payments on Schedule D-1, such payments may be disclosed on Schedule D (Income) or Schedule F (Gifts).



NAME \_\_\_\_\_

**Schedule D-1 - Income -- Travel Payments, Advances, Reimbursements**  
(SEE INSTRUCTIONS ON PRECEDING PAGE)

NAME OF SOURCE	DATE(S): (mo, day & yr)	AMOUNT(S):	PURPOSE AND LOCATION OF TRAVEL:
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) <input type="checkbox"/> GIFT <input type="checkbox"/> INCOME			

If additional space is needed, check box and attach an additional Schedule D-1.

## INFORMATION FOR COMPLETING SCHEDULE E - Income -- Loans (Received Or Outstanding During The Reporting Period)

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.**

You must report loans, including real estate loans and margin accounts, received by either yourself or your spouse aggregating \$250 or more from a single source which is located in, or doing business in, your jurisdiction. All reportable loans received or outstanding during the reporting period must be disclosed. Note: If you have received more than one loan from a single source, you must aggregate the amounts to determine if you have received \$250 or more from a single source. Report each loan separately.

**A source of income is located in or doing business in the jurisdiction if it or a parent, subsidiary, or a related business entity:**

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

**You are not required to disclose the following loans:**

- Any loan from a commercial lending institution used to purchase, refinance the purchase of, or for improvements to, your principal place of residence if the loan was made in the lender's regular course of business on terms available to members of the public without regard to official status.
- Loans from a commercial lending institution in the normal course of business which, in the aggregate, did not exceed \$10,000 at any time during the reporting period.
- Any indebtedness created as part of a retail installment or credit card transaction made in the creditor's regular course of business on terms available to the public without regard to official status if the aggregate amount owed to the creditor did not exceed \$10,000 at any time during the reporting period.
- Any loan from your spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of any such person, except that a loan from any such person is reportable if the person is acting as an intermediary or agent for any person not covered by this provision.

### EXAMPLE, SCHEDULE E

Assume that:

- You received an equity loan in the amount of \$15,000 from Greatest Savings and Loan on your principal place of residence to consolidate your bills and to take a vacation.
- You received a personal loan from a friend in the amount of \$1,500.

You must report:

- The equity loan on your principal place of residence because the loan amount was over \$10,000 and the money was not used to refinance or for improvements to your principal residence.
- The loan from your friend.

Schedule E should be completed as follows:

**AMOUNT OF HIGHEST BALANCE DURING THE REPORTING PERIOD:**

NAME OF LENDER <b>Greatest Savings &amp; Loan</b>		<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input checked="" type="checkbox"/> Over \$10,000
ADDRESS <b>123 Main Street, Little Town</b>		
NATURE OF BUSINESS, IF ANY <b>Lending Institution</b>	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <b>Personal Residence</b> <input type="checkbox"/> NONE		INTEREST RATE <b>14%</b> <input type="checkbox"/> NONE
NAME OF LENDER <b>Martin Smith</b>		<input type="checkbox"/> \$250 - \$1,000 <input checked="" type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS <b>567 A Street, Little Town</b>		
NATURE OF BUSINESS, IF ANY <b>Construction</b>	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input checked="" type="checkbox"/> NONE		INTEREST RATE <b>10%</b> <input type="checkbox"/> NONE

NAME \_\_\_\_\_

**Schedule E - Income -- Loans**  
**(Received or Outstanding During the Reporting Period)**

(SEE INSTRUCTIONS ON PRECEDING PAGE)

		AMOUNT OF HIGHEST BALANCE DURING THE REPORTING PERIOD:
NAME OF LENDER		<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS		
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input type="checkbox"/> NONE		
INTEREST RATE		<input type="checkbox"/> NONE
NAME OF LENDER		<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS		
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input type="checkbox"/> NONE		
INTEREST RATE		<input type="checkbox"/> NONE
NAME OF LENDER		<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS		
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input type="checkbox"/> NONE		
INTEREST RATE		<input type="checkbox"/> NONE
NAME OF LENDER		<input type="checkbox"/> \$250 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> Over \$10,000
ADDRESS		
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) <input type="checkbox"/> NONE		
INTEREST RATE		<input type="checkbox"/> NONE

If additional space is needed, check box and attach an additional Schedule E.

## INFORMATION FOR COMPLETING SCHEDULE F - Income -- Gifts

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.**

"Gift" means anything of value, whether tangible or intangible, for which equal or greater value is not provided.

You must disclose gifts with an aggregate value of \$50 or more received during the reporting period from a single source, without regard to the location of the donor (inside or outside your jurisdiction). Any number of gifts from one person, the values of which add up to \$50 or more during the reporting period, must be disclosed.

It is the acceptance of the gift, and not the ultimate use to which it is put, that imposes a reporting obligation on you. Thus, you must report a gift even if you never make use of it (unless you return the gift or donate it to a charity) or if you give it away to another person. (Note: There are special rules for reporting gifts of free passes or tickets. (Commission Regulation 18946.1.)) If the exact amount of the gift is not known, you must make a good faith estimate of the item's fair market value. Listing the value of the gift as "over \$50" or "value unknown" is not adequate disclosure. **Gifts are reportable without regard to where the donor is located.**

See Schedule D-1- Income -- Travel Payments, Advances, Reimbursements for information concerning payments, including advances and reimbursements, for travel.

**IMPORTANT:** If you receive a gift through an intermediary, you must disclose the name, address and business activity of both the donor and the intermediary.

### You are not required to disclose the following types of gifts:

- Gifts of hospitality involving food, drink or occasional lodging provided in an individual's home.
- Gifts equal in value exchanged between you and an individual, other than a lobbyist, on holidays, birthdays, or similar occasions.
- Gifts of informational material provided to assist you in the performance of your official duties, such as books, pamphlets, reports, calendars or periodicals.
- Gifts from your spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, aunt, uncle, niece, nephew, or first cousin or the spouse of any such person, provided that a gift from any such person must be disclosed if the person is acting as an agent or intermediary for a reportable source of income.
- A bequest or inheritance. (However, an inheritance of an investment or an interest in real property may be required to be reported on Schedule A or B.)
- Gifts which are not used and which, within 30 days after receipt, are returned to the donor or delivered to a charitable organization without being claimed as a charitable contribution for tax purposes.
- Personalized plaques and trophies with an individual value of less than \$250.
- Campaign contributions.
- Food, beverage, and necessary accommodations received directly in connection with an event at which you gave a speech, participated in a panel, or provided a similar service.

### EXAMPLE, SCHEDULE F

Assume that:

- You received season tickets worth \$200 to professional baseball games from Valley Pipe Systems. You used the tickets.

Schedule F should be completed as follows:

	DATE(S) RECEIVED: <small>(mo, day &amp; yr)</small>	VALUE(S):	DESCRIPTION OF GIFT(S):
--	---	-----------	----------------------------

NAME OF DONOR <b>Valley Pipe Systems</b>	March 1, 1992	\$200	Season tickets to Hometown A's baseball team
ADDRESS <b>200 E. Fifth, Hometown</b>			
NATURE OF BUSINESS, IF ANY <b>Manufactures Irrigation &amp; Plumbing Supplies</b>			

NAME \_\_\_\_\_

**Schedule F - Income -- Gifts**  
(SEE INSTRUCTIONS ON PRECEDING PAGE)

	DATE(S) RECEIVED: (mo, day & yr)	VALUE(S):	DESCRIPTION OF GIFT(S):
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			

If additional space is needed, check box and attach an additional Schedule F.

## Information for SCHEDULE G: Business Positions

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF POSITIONS YOU MUST DISCLOSE.**

- You must report the name of any business entity covered by your disclosure category for which you are a director, officer, partner, trustee, employee, or hold any position of management.
- Positions held in non-profit or charitable organizations or campaign committees are not reportable.

### EXAMPLE, SCHEDULE G

Assume that:

- Your disclosure category requires you to disclose business positions in entities which are contractors, land developers, or manufacturers of equipment used by your agency. You have been a partner in ABC Land Developers during the entire reporting period. You would report that position as follows:

Schedule G should be completed as follows:

NAME OF BUSINESS ENTITY <b>ABC Land Developers</b>	ADDRESS OF ENTITY <b>5744 Tenth Street, Little Town</b>
NATURE OF BUSINESS ACTIVITY, IF ANY <b>Land Developer</b>	YOUR JOB TITLE OR POSITION <b>Partner</b>
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	IF NO      POSITION COMMENCED ON _____ PLEASE INDICATE:      POSITION TERMINATED ON _____

NAME \_\_\_\_\_

**Schedule G -- Business Positions**  
(SEE INSTRUCTIONS ON PRECEDING PAGE)

NAME OF ENTITY	ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF NO PLEASE INDICATE:	POSITION COMMENCED ON _____ POSITION TERMINATED ON _____
NAME OF ENTITY	ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF NO PLEASE INDICATE:	POSITION COMMENCED ON _____ POSITION TERMINATED ON _____
NAME OF ENTITY	ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF NO PLEASE INDICATE:	POSITION COMMENCED ON _____ POSITION TERMINATED ON _____
NAME OF ENTITY	ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF NO PLEASE INDICATE:	POSITION COMMENCED ON _____ POSITION TERMINATED ON _____
NAME OF ENTITY	ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF NO PLEASE INDICATE:	POSITION COMMENCED ON _____ POSITION TERMINATED ON _____
NAME OF ENTITY	ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF NO PLEASE INDICATE:	POSITION COMMENCED ON _____ POSITION TERMINATED ON _____

If additional space is needed, check box and attach an additional Schedule G.

# INFORMATION FOR COMPLETING SCHEDULE H-1-- Commission Income

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.**

Brokers, agents, or salespersons are required to disclose the names of sources of commission income if their pro rata share of the gross commission income amounts to \$10,000 or more from a single source. **No ownership interest in a business entity is necessary to require disclosure or disqualification under this provision.** "Commission income" means gross payments received as a result of services rendered as a broker, agent, or salesperson. Persons who must report commission income include: insurance brokers or agents, real estate brokers or agents, travel agents or salespersons, stockbrokers, and retail or wholesale salespersons. The "source" of commission income may be a client or customer or it may be a broker, a company or other business entity through which the goods or services were sold, or it may be both. (See example below.) NOTE: If you have reported yourself or your employer as a source of commission income on Schedule D, you are not required to itemize yourself or your employer as a source of income on Schedule H-1.

During the reporting period, did you or your spouse receive "commission income" for services rendered as a broker, agent, or salesperson?

NO --- You are not required to complete Schedule H-1.

YES --- You must provide the name of each individual or entity which:

- Is located in, or doing business in, your jurisdiction; and
- Was a source of income, provided that your pro rata share of such receipts was \$10,000 or more.

## EXAMPLE, SCHEDULE H-1

Assume that:

- You are an agent for Smith and Jones Insurance Company. You sold a Businessmen's Insurance Company insurance policy to XYZ Company. You received commission income of \$15,000 from the transaction.
- Your spouse is a real estate broker for Goldwater Realty and received a commission of \$20,000 from the Grand Company.

You would:

- Report both XYZ Company and Businessmen's Insurance Company as sources of commission income because each was a source of \$10,000 or more. Smith and Jones is disclosed on Schedule D as a source of commission income, and on Schedule H-1 as the business entity through which you received the income.
- Report Grand Company, your spouse's client, because your community property share (50%) of the gross receipts amounted to \$10,000 and would meet the reporting threshold. You would also report your community property share of your spouse's income from Goldwater Realty on Schedule D as a source of income.

Schedule H-1 would be completed as follows:

NAME OF BUSINESS ENTITY <b>Smith and Jones Insurance Company</b>
NAMES OF SOURCES OF INCOME <b>XYZ Company</b>
<b>Businessmen's Insurance Company</b>
NAME OF BUSINESS ENTITY <b>Goldwater Realty</b>
NAMES OF SOURCES OF INCOME <b>Grand Company</b>



NAME \_\_\_\_\_

### Schedule H

(SEE INSTRUCTIONS ON PRECEDING PAGES)

#### Schedule H-1

#### Commission Income Received By Brokers, Agents And Salespersons

NAME OF BUSINESS ENTITY	
NAMES OF SOURCES OF INCOME	

#### Schedule H-2

#### Income And Loans To A Business Entity Or Trust

NAME OF BUSINESS ENTITY OR TRUST	ADDRESS OF BUSINESS ENTITY OR TRUST
NATURE OF BUSINESS	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST	

#### Schedule H-3

#### Income From Rental Property

You must disclose the name(s) of any renter(s) who made rent payments, provided that your pro rata share of such receipts from any one renter was \$10,000 or more.

ADDRESS OF RENTAL PROPERTY	CITY
NAMES OF RENTERS	
ADDRESS OF RENTAL PROPERTY	CITY
NAMES OF RENTERS	

If additional space is needed, check box and attach additional schedules.

## INFORMATION FOR COMPLETING SCHEDULES H-2 AND H-3 -- Income And Loans To Business Entities Or Trusts And Income From Rental Property

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.**

If, during the reporting period, you and your spouse owned an aggregate interest of 10% or more in any business entity (including rental property) or a trust, you must provide the name of each individual or entity which:

- Is located in, or is doing business in, your jurisdiction; and
- Was a source of income, including loans received or outstanding, to the business entity or trust, provided that your pro rata share of such payments or receipts was \$10,000 or more (Schedule H-2), or
- Made rent payments, provided that your pro rata share of such receipts was \$10,000 or more (Schedule H-3).

**IMPORTANT:** The requirement to report sources of income to a business entity or trust is determined by the location of the source of loan or income--not by the location of the business entity or trust which received the loan or income or by the location of the rental property.

If the business entity in which you have a 10% or greater interest is located in or doing business in your jurisdiction, it must also be reported on Schedule A and you may be required to complete Schedules C-1 and C-2. Your pro rata share of the gross income to the business entity must also be reported on Schedule D.

If the rental property in which you have a 10% or greater interest is located in your jurisdiction, it must also be reported on Schedule B. Your pro rata share of the gross rental income from the property must also be reported on Schedule D.

FPPC Regulation 18740 provides a procedure for obtaining an exemption from the disclosure requirement of clients' names which are legally recognized as privileged under California law. You may obtain a copy of Regulation 18740 by contacting the Fair Political Practices Commission.

**NOTE:** If your pro rata share of income or a loan to a business entity or trust is \$250 or more, you may be required to disqualify yourself from decisions affecting that source of income or loan, even though you are not required to report it.

### EXAMPLE, SCHEDULE H-2

Assume that:

- During the reporting period, ABC Land Developers, in which you have a 50% partnership interest, received a loan from the Little Town Bank in the amount of \$30,000. The bank has a branch which is located in your jurisdiction.
- ABC Land Developers received a gross payment from John H. Brown, a customer, in the amount of \$15,000. Mr. Brown is doing business in your jurisdiction.
- Your spouse owns 100% of a law firm. A client paid your spouse \$20,000 during the reporting period. This client is doing business in your jurisdiction.

You would:

- Report the loan to ABC Land Developers from the Little Town Bank because your pro rata share (50%) of the \$30,000 loan is \$15,000 and meets the \$10,000 reporting threshold.
- Not report the name of John H. Brown, a customer, because your pro rata share (50%) of his \$15,000 gross payment is only \$7,500 and does not meet the \$10,000 reporting threshold. However, Mr. Brown is a source of income to you, and you may have to disqualify yourself from decisions affecting Mr. Brown.
- Report your spouse's law firm and its client because your pro rata share (50%) of the \$20,000 paid to the law firm is \$10,000 and meets the reporting threshold.

Schedule H-2 would be completed as follows:

NAME OF BUSINESS ENTITY OR TRUST <b>ABC Land Developers</b>	ADDRESS OF BUSINESS ENTITY OR TRUST <b>5744 Tenth Street, Little Town</b>
NATURE OF BUSINESS, IF ANY <b>Land Developers</b>	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST <b>Little Town Bank</b>	
NAME OF BUSINESS ENTITY OR TRUST <b>Smith and Jones</b>	ADDRESS OF BUSINESS ENTITY OR TRUST <b>1261 High Street, Little Town</b>
NATURE OF BUSINESS, IF ANY <b>Law Firm</b>	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST <b>Joseph Brown and Associates</b>	

### EXAMPLE, SCHEDULE H-3

Assume that:

- You own 25% of a commercial building located in your jurisdiction from which rental income was received from a single tenant in the amount of \$40,000.

You would:

- Report the name of the rental tenant who provided payments in the amount of \$40,000 to the commercial building because your pro rata share (25% of the rental payments) is \$10,000 and would meet the reporting threshold.

Schedule H-3 would be completed as follows:

ADDRESS OF RENTAL PROPERTY <b>14738 Spruce Street</b>	CITY <b>Little Town</b>
NAMES OF RENTERS <b>Sylvan Taylor, M.D.</b>	

